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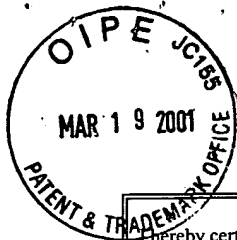
PATENT

Docket No. 128019203802

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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Assistant Commissioner for Patents, Washington, D.C. 20231 on March 9, 2001.

Name Crystal Fong Crystal Fong

In re Application for:

GARY D. GLICK

Examiner: Not Assigned

Serial No.: 09/767,283

Group Art Unit: Not Assigned

Filing Date: January 22, 2001

For: PRO-APOPTOTIC
BENZODIAZEPINES

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
Washington, D.C. 20231

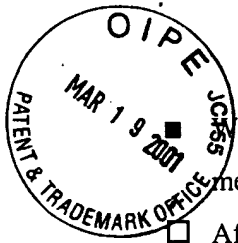
Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Some of the copies of the documents were previously submitted in an Information Disclosure Statement dated December 29, 2000 and a Supplemental Information Disclosure Statement dated January 9, 2001, directed to the related parent application U.S. Serial Number 09/700,101, and accordingly, copies are not included herewith. Enclosed is a copy of the PTO 1449 Statement filed in connection with U.S. Serial No. 09/700,101. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record.

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This Information Disclosure Statement is submitted:

- within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \$* is enclosed.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; according, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-0974 referencing 128019203802. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: March 9, 2001

By: Antoinette F. Konski

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Form PTO-1449	Docket No. 128019203802	Appl. No. 09/767,283
INFORMATION DISCLOSURE STATEMENT 1 (use several sheets if necessary)		Applicant(s) Gary D. Glick
Filing Date: January 22, 2001		Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, date, pertinent pages, etc.)

Examiner Initials	Ref. No.	Title
	1.	Partial International Search Report for PCT/US00/11599

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Form PTO-1449	Attorney's Docket No. 128019-203801	Application Serial No. 09/700,101
INFORMATION DISCLOSURE STATEMENT	Applicant(s) Gary D. GLICK, et al.	
(use several sheets if necessary)	Filing Date: November 8, 2000	Group Art Unit: Unassigned

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
	1.	02/28/1978	4,076,823	Wade, et al.			
	2.	08/29/1978	4,110,327	Szarvasi			
	3.	01/22/1985	4,495,104	Klaubert, et al.			
	4.	06/14/1988	4,751,223	Glamkowski, et al.			
	5.	08/20/1991	5,041,438	Hsu			
	6.	06/28/1994	5,324,726	Bock, et al.			
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	8.	07/07/1998	5,776,946	McGeer, et al.			
	9.	01/19/1999	5,861,380	Gyorkos, et al.			
	10.	12/21/1999	6,004,942	Firestein, et al.			
	11.	06/27/2000	6,080,588	Glick			

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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation	
							YES	NO
	12.	06/04/2000	WO 00/19200	PCT				

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(including author, title, date, pertinent pages, etc.)

Examiner Initials	Ref. No.	Title
	13.	Adachi, M., et al., "Aberrant Transcription Caused by the Insertion of an Early Transposable Element in an Intron of the Fas Antigen Gene of <i>lpr</i> Mice," <i>Proc. Natl. Acad. Sci. USA</i> - 90:1756-1760 (1993)
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	17.	Boojamra, C.G., et al., "Solid-Phase Synthesis of 1,4-Benzodiazepine-2,5-Diones. Library Preparation and Demonstration of Synthesis Generality," <i>J. Org. Chem.</i> - 62:1240-1256 (1997)
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26.	Ermak, T.H., et al., "Treatment of Murine Lupus with Monoclonal Antibody to L3T4," <i>Laboratory Investigation</i> - 61(4):447-456 (1989)
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